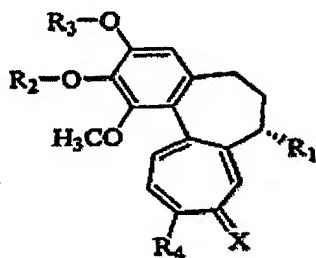


What is claimed is

1. A tricyclic derivative represented by the following <Formula 1> or pharmaceutically acceptable salts thereof.

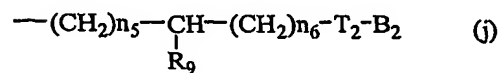
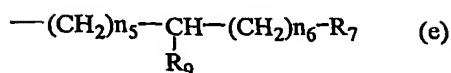
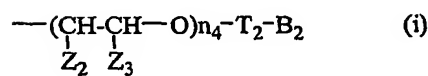
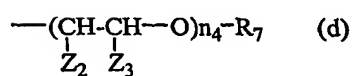
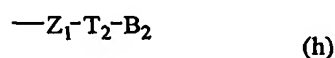
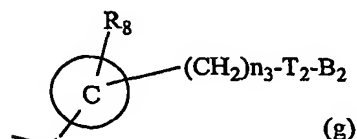
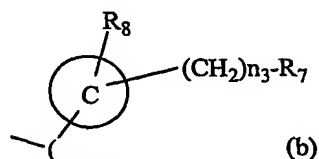
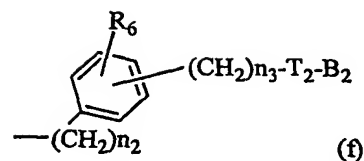
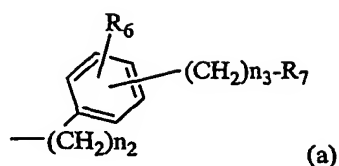
<Formula 1>



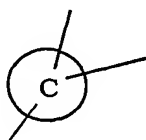
(Wherein,

(1)  $R_1$  is  $-T_1-B_1$ ;

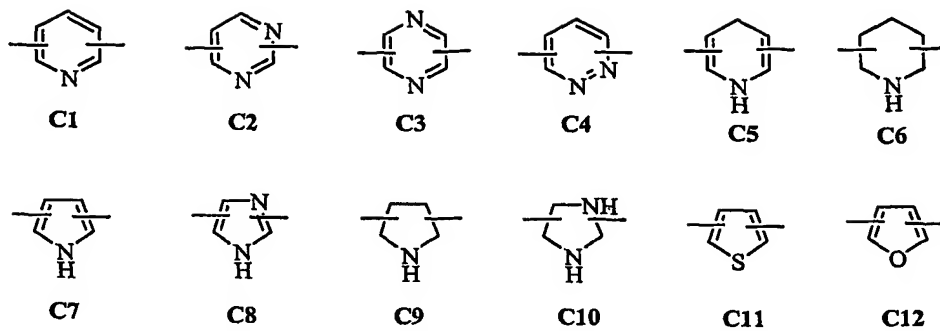
- 10 in which  $T_1$  is  $-X_1-$ ,  $-X_1-C(X_2)-$ ,  $-N(R_5)-$ ,  $-N(R_5)C(X_2)-$ ,  $-N(R_5)S(O)n_1-$ ,  $-N(R_5)C(O)-X_1-$  or  $-N(R_5)C(X_1)NH-$ , in that  $X_1$  and  $X_2$  are each O or S,  $R_5$  is each H or  $C_1 \sim C_5$  alkyl group,  $n_1$  is an integer of 1~2; and  $B_1$  is selected from a group consisting of following (a) ~ (j),



Wherein,  $\text{R}_6$  and  $\text{R}_8$  are each H, halogen, hydroxy,  $\text{C}_1 \sim \text{C}_3$  alkoxy, amino, nitro, cyano or  $\text{C}_1 \sim \text{C}_3$  lower alkyl group;  $\text{R}_7$  and  $\text{R}_9$  are each independently halogen, hydroxy, mercapto,  $-\text{ONO}$ ,  $-\text{ONO}_2$  or  $\text{SNO}$ , in which  $\text{R}_7$  and  $\text{R}_9$  are same or different;



is  $\text{C}_5 \sim \text{C}_6$  membered saturated or unsaturated heterocyclic ring containing 1-2 of hetero atom, in which the hetero atom is selected from a group consisting of O, S and N, preferably,



more preferably, C1 (pyridyl group) substituted at position 2 and 6 or position 2 and 5, C7 (pyrrolyl group) substituted at position 2 and 5 or position 2 and 4, C11 (thiophenyl group) or C12 (furanyl group); Z<sub>1</sub> is C<sub>1</sub>~C<sub>10</sub> straight-chain or branched-chain alkyl group, preferably C<sub>2</sub>~C<sub>5</sub> straight-chain or branched-chain alkyl group or cycloalkyl group having substituent; Z<sub>2</sub> and Z<sub>3</sub> are each independently H or methyl group, in which Z<sub>3</sub> is H when Z<sub>2</sub> is methyl group, Z<sub>2</sub> is H when Z<sub>3</sub> is methyl group; T<sub>2</sub> is -X<sub>1</sub>- or -X<sub>1</sub>-C(X<sub>2</sub>)-, in that X<sub>1</sub> and X<sub>2</sub> are each independently O or S; B<sub>2</sub> is selected from a group consisting of said (a), (b), (c), (d) or (e); n<sub>2</sub> is an integer of 0~3, n<sub>3</sub> is an integer of 0~5, n<sub>4</sub> is an integer of 1~5, n<sub>5</sub> and n<sub>6</sub> are each independently an integer of 1~6;

(2) R<sub>2</sub> and R<sub>3</sub> are each independently H, -PO<sub>3</sub>H<sub>2</sub>, phosphonate, sulfate, C<sub>3</sub>~C<sub>7</sub> cycloalkyl, C<sub>2</sub>~C<sub>7</sub> alkenyl, C<sub>2</sub>~C<sub>7</sub> alkynyl, C<sub>1</sub>~C<sub>7</sub> alkanoyl, C<sub>1</sub>~C<sub>7</sub> straight-chain or branched-chain alkyl or sugar, in which sugar is a

monosaccharide such as glucuronyl, glucosyl or galactosyl;

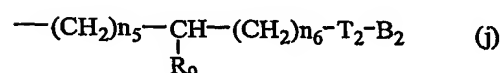
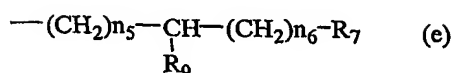
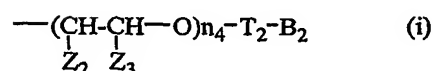
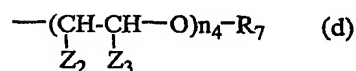
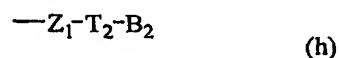
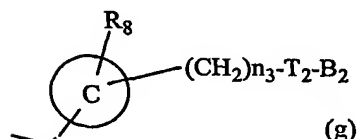
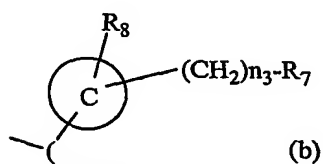
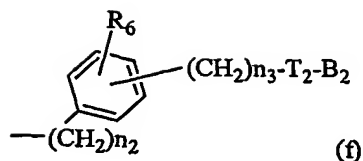
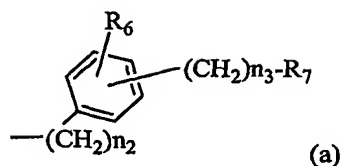
(3)  $R_4$  is  $OCH_3$ ,  $SCH_3$  or  $NR_{10}R_{11}$ , in which  $R_{10}$  and  $R_{11}$  are each independently H or  $C_{1-5}$  alkyl;

5 (4) X is O or S.)

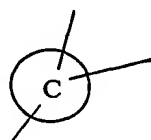
2. The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in claim 1, wherein the compound of <Formula 1> is characterized as follows:

(1)  $R_1$  is  $-T_1-B_1$ ;

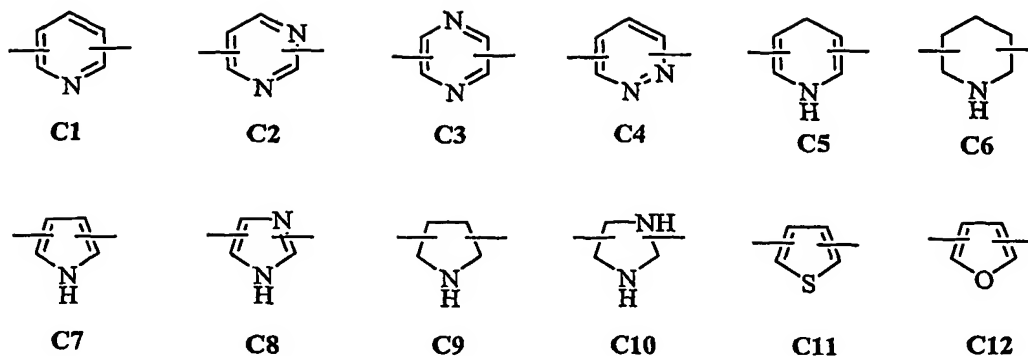
in which  $T_1$  is  $-N(R_5)C(X_2)-$ ,  $-N(R_5)C(O)-X_1-$  or  $-N(R_5)C(X_1)NH-$ , in that  $X_1$  and  $X_2$  are each O,  $R_5$  is each H or  $C_1 \sim C_5$  alkyl group; and  $B_1$  is selected from a group consisting of following (a) ~ (j),



Wherein,  $\text{R}_6$  and  $\text{R}_8$  are each H, halogen, hydroxy,  $\text{C}_1 \sim \text{C}_3$  alkoxy, amino, nitro, cyano or  $\text{C}_1 \sim \text{C}_3$  lower alkyl group;  $\text{R}_7$  and  $\text{R}_9$  are each independently halogen, hydroxy, mercapto(thiol), -ONO, -ONO<sub>2</sub> or SNO, in which  $\text{R}_7$  and  $\text{R}_9$  are same or different;



is  $\text{C}_5 \sim \text{C}_6$  membered saturated or unsaturated heterocyclic ring containing 1~2 of hetero atom, in which the hetero atom is selected from a group consisting of O, S and N, preferably,



more preferably, C1 (pyridyl group) substituted at position 2 and 6 or position 2 and 5, C7 (pyrrolyl group) substituted at position 2 and 5 or position 2 and 4, C11 (thiophenyl group) or C12 (furanyl group), a bond of substituents may be at symmetrical or asymmetrical position;  $Z_1$  is  $C_1 \sim C_{10}$  straight-chain or branched-chain alkyl group, preferably  $C_2 \sim C_5$  straight-chain or branched-chain alkyl group or cycloalkyl group having substituent;  $Z_2$  and  $Z_3$  are each independently H or methyl group, in which  $Z_3$  is H when  $Z_2$  is methyl group,  $Z_2$  is H when  $Z_3$  is methyl group;  $T_2$  is  $-X_1-$  or  $-X_1-C(X_2)-$ , in that  $X_1$  and  $X_2$  are each O or S;  $B_2$  is selected from a group consisting of said (a), (b), (c), (d) or (e);  $n_2$  is an integer of 0~3,  $n_3$  is an integer of 0~5,  $n_4$  is an integer of 1~3,  $n_5$  and  $n_6$  are each independently an integer of 1~3;

(2)  $R_2$  and  $R_3$  are each independently  $C_3 \sim C_7$  cycloalkyl or  $C_1 \sim C_7$  alkyl;

(3)  $R_4$  is  $SCH_3$  or  $OCH_3$ ;

(4) X is O or S.

3. The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in claim 1,  
5 wherein the tricyclic derivative comprises:

1) 6-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-nicotineamide;

2) 5-nitrooxymethyl-furan-2-carboxylic acid-  
10 [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

3) N-[(7S)-3-isopropoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;

15 4) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;

5) 6-nitrooxymethyl-pyridine-2-carboxylic acid-  
20 [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

6) 5-nitrooxymethyl-thiophene-2-carboxylic acid-  
[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

- 7) N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;
- 8) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-2-fluoro-3-nitrooxymethyl-benzamide;
- 9) 2-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;
- 10) 2-fluoro-3-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 11) N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-2-fluoro-3-nitrooxymethyl-benzamide;
- 12) 3-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 13) N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-fluoro-5-nitrooxymethyl-benzamide;



- 14) 3-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-5-nitrooxymethyl-benzamide;
- 15) N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-fluoro-5-nitrooxymethyl-benzamide;
- 16) 4-fluoro-3-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 17) 2-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 18) 3-hydroxy-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 19) 3,5-bis-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 20) 2-hydroxy-4-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 21) 4-nitrooxymethyl-thiophene-2-carboxylic acid [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

22) 3-nitrooxymethyl-thiophene-2-carboxylic acid  
[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-  
tetrahydro-benzo[a]heptalen-7-yl]-amide;

23) 2-(3-nitrooxymethyl-phenyl)-N-[(7S)-1,2,3-  
5 trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
benzo[a]heptalen-7-yl]-acetamide;

24) 3-(2-nitrooxy-ethyl)-N-[(7S)-1,2,3-  
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
benzo[a]heptalen-7-yl]-benzamide;

10 25) 3-nitrooxybenzoic acid-5-[(7S)-1,2,3-  
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
benzo[a]heptalen-7-yl-carbamoyl]-pyridine-2-yl-  
methylester;

26) 4-nitrooxybutyric acid-5-[(7S)-1,2,3-  
15 trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
benzo[a]heptalen-7-yl-carbamoyl]-pyridine-2-yl-  
methylester;

27) 3-nitrooxymethyl-benzoic acid-6-[(7S)-1,2,3-  
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
20 benzo[a]heptalen-7-yl-carbamoyl]-pyridine-2-yl-  
methylester;

28) 4-nitrooxybutyric acid-6-[(7S)-1,2,3-  
trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-  
benzo[a]heptalen-7-yl-carbamoyl]-pyridine-2-yl-  
25 methylester;

29) 3-nitrooxymethyl-benzoic acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

5 30) 4-nitrooxybutyric acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

31) 3-nitrooxymethyl-benzoic acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

10 32) 4-nitrooxybutyric acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-phenylester;

33) 3-nitrooxymethyl-benzoic acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-benzylester;

34) 4-nitrooxybutyric acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl-carbamoyl]-benzylester;

20 35) 2-nitrosothio-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

36) 3-nitrosooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

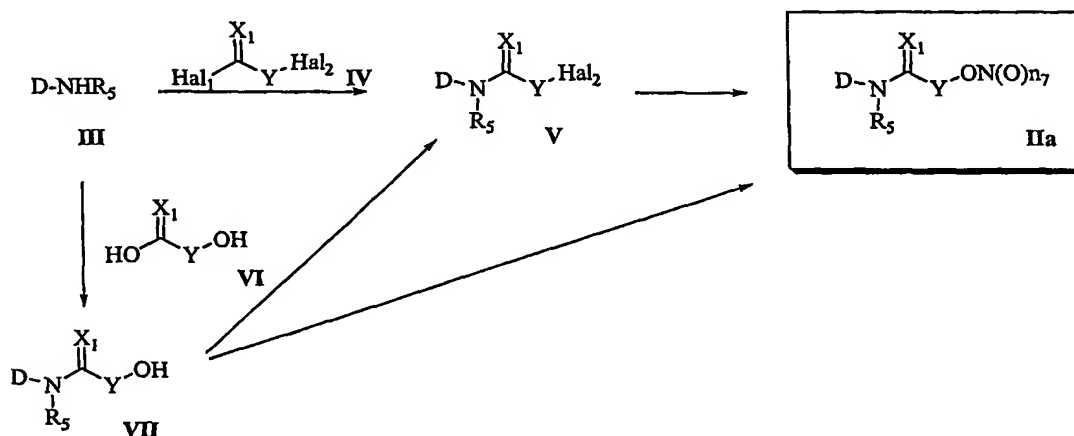
- 37) 3-fluoro-5-nitrosooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 38) 3-nitrosothiomethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 39) 3-fluoro-5-nitrosothiomethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 40) 3-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3,10-tetramethoxy-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 41) 3-nitrooxymethyl-N-methyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 42) 3-fluoro-N-methyl-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;
- 43) 2-(3-fluoro-5-nitrooxymethyl-phenyl)-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-acetamide; or
- 44) 2-(2-fluoro-5-nitrooxymethyl-phenyl)-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-acetamide.

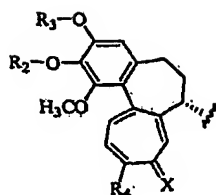
4. A method for preparing tricyclic derivatives as represented in <Scheme 1> comprising the following steps:

- 5 (1) Reaction of the compound of formula (III) with the compound formula (IV) or the compound of formula (VI) is performed to give the compound of formula (V) or the compound of formula (VII), or reaction of the resultant compound of formula (VII) with the halogen compound is performed to give the compound of formula (V) (Step 1); and
- 10 (2) Nitration or nitrosation of the prepared compound of formula (V) or the compound of formula (VII) is performed to give the compound of formula (IIa) (Step 2).

15

<Scheme 1>

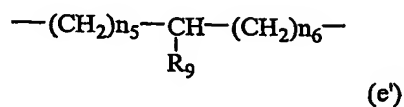
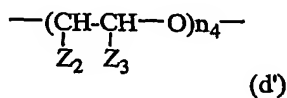
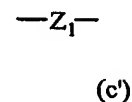
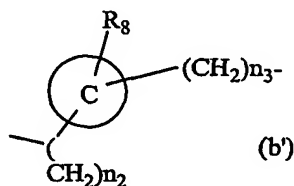
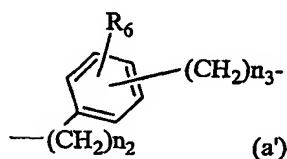




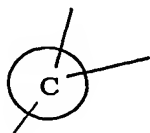
(Wherein, D is , and R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and X

are same as defined in the <Formula 1>;

R<sub>5</sub> is H or low molecular weight alkyl; X<sub>1</sub> is O or S; Hal<sub>1</sub> and Hal<sub>2</sub> are halogens; Hal<sub>1</sub> and Hal<sub>2</sub> of  
 5 general formula (IV) and (IX) are each same or different halogens, for example F, Cl, Br or I; Y indicates general formula (a'), (b'), (c'), (d') and (e') respectively,



10



Wherein, , R<sub>6</sub>, R<sub>8</sub>, R<sub>9</sub>, Z<sub>1</sub>, Z<sub>2</sub>, Z<sub>3</sub>, n<sub>2</sub>, n<sub>3</sub>, n<sub>4</sub>,  
 n<sub>5</sub> and n<sub>6</sub> are same as defined in the <Formula 1>.)

5. An anticancer agent or anti-proliferation agent containing tricyclic derivatives of any one of claim 1 - claim 3 or pharmaceutically acceptable salts thereof as an effective ingredient.

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6. An angiogenesis inhibitor containing tricyclic derivatives of any one of claim 1 - claim 3 or pharmaceutically acceptable salts thereof as an effective ingredient.